

03-17-05

YFW 1652\$

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Date of Deposit March 15, 2005

PATENT
Attorney Docket No.: 015270-006430US
Client Reference No.: 228-US-NEW

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By: 



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John P. Anderson et al.

Application No.: 09/471,669

Filed: December 24, 1999

For: BETA-SECRETASE ENZYME
COMPOSITIONS AND METHODS

Examiner: Walicka, Malgorzata A.

Art Unit: 1652

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of references 155-184 are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

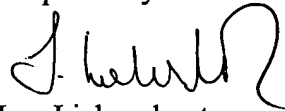
03/18/2005 FMEK11 00000104 201430 09471669

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As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

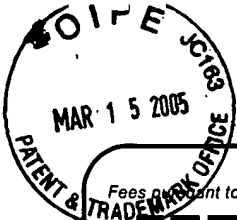
This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance. Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Joe Liebeschuetz
Reg. No. 37,505

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
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RLC:crf



Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180)

Complete if Known

Application Number	09/471,669
Filing Date	December 24, 1999
First Named Inventor	Anderson, John P.
Examiner Name	Walicka, Malgorzata A.
Art Unit	1652
Attorney Docket No.	015270-006430US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 20-1430 Deposit Account Name: Townsend and Townsend and Crew LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Small Entity	
	Fee (\$)	Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims Extra Claims Fee (\$) Fee Paid (\$) Multiple Dependent Claims
_____ -20 or HP = _____ x _____ = _____ Fee (\$) Fee Paid (\$)

HP = highest number of total claims paid for, if greater than 20

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)
_____ -3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)
_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

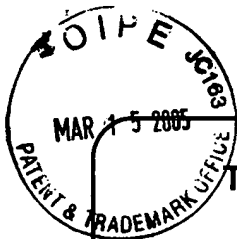
Other: Submission of Information Disclosure Stmt

Fees Paid (\$)

180

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	37,505	Telephone	650-326-2400
Name (Print/Type)	Joe Liebeschuetz			Date	March 15, 2005



PTO/SB/21 (09-04)

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number

09/471,669

Filing Date

December 24, 1999

First Named Inventor

Anderson, John P.

Art Unit

1652

Examiner Name

Walicka, Malgorzata A.

Attorney Docket Number

015270-006430US

ENCLOSURES (Check all that apply)Fee Transmittal Form (1 pg.,
submitted in duplicate)

Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request

Supplemental Information
Disclosure Statement (2 pages);
PTO/SB/08A (1 page) PTO/SB/08B (2 pgs)
*copy of cite nos. 155-184Certified Copy of Priority
Document(s)Reply to Missing Parts/ Incomplete
ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional ApplicationPower of Attorney, Revocation
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____



Landscape Table on CD



After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):

Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit
Account 20-1430.***Not included in "Total Number of Pages In this Submission"****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Townsend and Townsend and Crew LLP

Signature

Printed name

Joe Liebeschuetz

Date

March 15, 2005

Reg. No.

37,505

CERTIFICATE OF TRANSMISSION/MAILING

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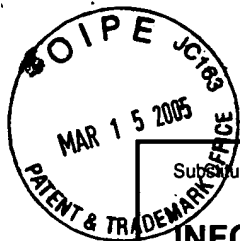
Signature

Typed or printed name

Jordan Magat

Date

3/15/05



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	09/471,669	
			Filing Date	December 24, 1999	
			First Named Inventor	Anderson, John P.	
			Art Unit	1652	
			Examiner Name	Walicka, Malgorzata A.	
Sheet	1	of	3	Attorney Docket Number	015270-006430US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	154	US-6,627,739	09-30-2003	Anderson	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	170	EP	1 445 263 /	A1	08-11-2004			
	171	EP	855 442 /	A2	07-29-1998			
	166	GB	2 364 059 /	B	01-14-2004			
	167	GB	2 389 114 /	B	02-04-2004			
	168	GB	2 389 113 /	B	02-04-2004			
	169	GB	2 389 182 /	B	02-04-2004			

Examiner Signature		Date Considered	
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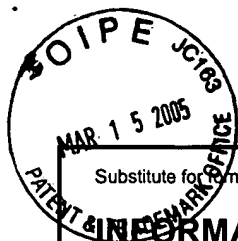
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/471,669
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				First Named Inventor	Anderson, John P.
				Art Unit	1652
				Examiner Name	Walicka, Malgorzata A.
Sheet	2	of	3	Attorney Docket Number	015270-006430US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	181	BORK, P., "Powers and Pitfalls in Sequence Analysis: The 70% Hurdle," <u>Genome Research</u> , 10:398-400 (2000).	
	179	BROUN et al., "Catalytic Plasticity of Fatty Acid Modification Enzymes Underlying Chemical Diversity," <u>Science</u> , 282:131-133 (1998).	
	178	DAS et al., "Estrogenic responses in estrogen receptor- α deficient mice reveal a distinct estrogen signaling pathway," <u>PNAS</u> , 94:12786-12791 (1997).	
	183	DENNIS et al., "Kunitz Domain Inhibitors of Tissue Factor-Factor VIIa," <u>J. Biol. Chem.</u> , 269(35):22129-22136 (1994).	
	155	Examination Report for United Kingdom 00121314.9 dated November 1, 2002.	
	156	Examination Report for United Kingdom 00121314.9 dated July 21, 2003.	
	157	Examination Report for United Kingdom 00121314.9 dated October 30, 2003.	
	158	Examination Report for United Kingdom Application 0318112.0 dated November 19, 2003.	
	159	Search and Examination Report for United Kingdom Application 0318112.0 dated September 24, 2003.	
	160	Search and Examination Report for United Kingdom Application 0318107.0 dated September 25, 2003.	
	161	Search and Examination Report for United Kingdom Application 0318113.8 dated September 25, 2003.	
	162	Examination Report for United Kingdom Application 0318113.8 dated November 19, 2003.	
	163	Examination Report for European Application 00919298.0 dated October 30, 2003.	
	164	Search Report for European Application 04006991.6 dated June 11, 2004.	
	165	Examination Report for New Zealand Application 534876 dated August 27, 2004.	
	184	International Preliminary Examination Report for PCT application PCT/US00/03819 dated 9/4/02.	

Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



Substitute for Form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/471,669
				Filing Date	December 24, 1999
				First Named Inventor	Anderson, John P.
				Art Unit	1652
				Examiner Name	Walicka, Malgorzata A.
Sheet	3	of	3	Attorney Docket Number	015270-006430US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	176	JACKSON et al., "The p47 ^{phox} Mouse Knock-Out Model of Chronic Granulomatous Disease," <u>J. Exp. Med.</u> , 182:751-758 (1995).	
	182	KICK et al., "Structure-based design and combinatorial chemistry yield low nanomolar inhibitors of cathepsin D," <u>Chem. & Biol.</u> , 4:297-307 (1997).	
	173	KRISTIE, T. M., "The Mouse Homologue of the Human Transcription Factor C1 (Host Cell Factor)," <u>J. Biol. Chem.</u> , 272(42):26749-26755 (1997).	
	174	LI et al., "Cloning and Characterization of Three New Murine Genes Encoding Short Homologues of RNase P RNA*," <u>J. Biol. Chem.</u> , 270(42):25281-25285 (1995).	
	177	RAABE et al., "Knockout of the abetalipoproteinemia gene in mice: Reduced lipoprotein secretion in heterozygotes and embryonic lethality in homozygotes," <u>PNAS</u> , 95:8686-8691 (1998).	
	172	SUN et al., "Gene Structure, Chromosomal Localization, and Expression of the Murine Homologue of Human Proteinase Inhibitor 6 (PI-6) Suggests Divergence of PI-6 from the Ovalbumin Serpins*," <u>J. Biol. Chem.</u> , 270(27): 16089-16096 (1995).	
	175	UMANS et al., "Targeted Inactivation of the Mouse α_2 -Macroglobulin Gene*," <u>J. Biol. Chem.</u> , 270(34):19778-19785 (1995).	
	180	VAN DE LOO et al., "An oleate 12-hydroxylase from <i>Ricinus communis</i> L. is a fatty acyl desaturase homolog," <u>PNBS</u> , 92:6743-6747 (1995).	

Examiner Signature		Date Considered	
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